**FLOWCHART C2: PROCURING GC OR CM FOR NON-STATE, NON-PRIVATE FUNDED CAPITAL IMPROVEMENT PROJECTS**

**GC = General Contractor; CM = Construction Manager**

- This decision typically is based on Facilities Services construction group's technical capabilities and workloads.

- Examples of sole source justification include but are not necessarily limited to: contractor has special capabilities needed for the project; consultant was involved in project planning/design and time/cost savings would be realized if same contractor performs construction; etc.

**JOC = job-order contractor.**

***When soliciting proposals from on-call contractors (JOC) or other contractors, KU Purchasing recommends at least three quotes for costs below $5,000 and this is mandatory for costs over $5,000 and less than $50,000 for non-catalog contractors (not on KU/state/consortium contract accessible to KU).***

**FROM FLOWCHART C1**

- JUNE 28, 2016

- **GO TO END PROCUREMENT PROCESS**
  - YES
  - **RELEASE TO FS?**
    - YES
    - **SOLE SOURCE WARRANTED?**
      - YES
      - **OFPM APPROVED?**
        - YES
        - **REJECT PROPOSAL(S) AND REVISE RFP**
        - NO
        - **REQUEST CONTRACTOR PROPOSAL(S)***
      - NO
      - **ON-CALL (JOC) OR FEE LESS THAN $5,000?**
        - YES
        - **Determine which proposal is best value for KU. Approve proposal (or send for DCM Deputy Director approval if over $50,000). Provide justification to DCM accounting with request for purchase order.**
        - NO
        - **START OVER**
      - NO
      - **ANY ACCEPTABLE PROPOSAL(S)?**
        - YES
        - **AWARD CONTRACT**
        - NO
        - **END PROCUREMENT PROCESS**
  - NO
  - **IS ESTIMATED CONSTRUCTION COST (GC OR CM CONTRACT AMOUNT) LESS THAN $1,000,000?**
    - YES
    - **KU PREFERENCE AND MEETS CRITERIA FOR ALT. DELIVERY PROCESS?**
      - YES
      - **FOLLOW REGENTS ALT. DELIVERY PROCESS**
      - NO
    - **REJECT PROPOSAL(S) AND REVISE RFP**
    - NO
    - **FOLLOW REGENTS STANDARD PROCESS***
  - NO
  - **END PROCUREMENT PROCESS**

**Statutes and policies are silent on these limits; in practice KU typically applies same limits as for A/E services.**

**Per Regents Procurement Act procedures at [dcu.ku.edu/standards](http://dcu.ku.edu/standards), general summary:**
1) Submit request to Procurement Negotiation Committee;
2) Conduct public hearing;
3) Conduct RFQ and RFP, interviews, selection and negotiation processes with PNC;
4) Prepare KU AIA contract documents for KU chief financial officer signature via DCM accounting.